



**"provides specialist expertise in tax investigations and litigation proceedings ... takes on a diverse range of tax disputes involving carousel fraud and civil and criminal proceedings"**

(Chambers UK)

**"Criminal fraud powerhouse"**

(The Legal 500)

## **BCL Solicitors LLP** Solicitors

51 Lincoln's Inn Fields  
London WC2A 3LZ

DX 37981 Kingsway  
Tel +44 (0)20 7430 2277  
Fax +44 (0)20 7430 1101

## Regulatory, Disciplinary & Director Disqualification

**"seamlessly mixing white collar crime with regulatory, disciplinary work and serious crime"**

(Chambers UK)

For a professional, a regulatory investigation with the risk that it might lead to disciplinary proceedings can cause irreparable damage to their reputation and livelihood. While the range of sanctions will depend on the particular regulator, they can include a financial penalty, a period of suspension from practice or, worse, prohibition from practice. It is therefore vital that expert legal advice is sought at the earliest opportunity, as the possibility of achieving a favourable outcome may well depend on the approach taken at the outset, particularly where co-operation towards an agreed outcome may represent the best resolution of the matter. However, as regulatory investigation may well impact on a person's employment status, and (depending on the subject matter) may be a precursor to potential criminal investigation, it is crucial that the strategy that is adopted does not cause prejudice in any associated matter.

In addition to our work in relation to investigations conducted by the FCA and the HSE, BCL has substantial experience in advising individuals and organisations involved in investigations and proceedings brought by the following regulatory authorities (our involvement is generally on behalf of those who find themselves the subject of the investigation, but we also represent those who are treated as witnesses):

- Lloyd's of London
- The General Medical Council
- The Medicines & Healthcare products Regulatory Authority
- The Solicitors Regulation Authority
- The Bar Council
- The Security Industry Authority
- The British Horseracing Authority
- The Nursing and Midwifery Council
- The Royal Pharmaceutical Society of Great Britain
- The Independent Police Complaints Commission

We are also experienced in representing individuals in directors' disqualification proceedings brought under the Company Directors Disqualification Act.

